PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number   | 10/777,253        |
|----------------------|-------------------|
| Filing Date          | February 12, 2004 |
| First Named Inventor | Joseph Urban      |
| Art Unit             | 3736              |
| Examiner Name        | To Be Assigned    |
| Attanney Decket No.  | PRS 104LIS        |

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|   | smittal For<br>e Attached             |  |  | Drawing(s) Licensing-related                   | l Papers                                |                         | After Allowance Communication to TC  |  |
| Aff   | ent/Reply<br>er Final<br>idavits/Dec  | claration(s)   |  | Petition Petition to Conve                     |   |                         | Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |  |
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| Express Abandonment Request  Information Disclosure Statement   |                                       |  | Terminal Disclaimer Request for Refund |  |   |                         | Other Enclosure(s) (please identify below): PTO-Form 1449, Return Receipt Postcard   |  |
| Certified Copy of Priority Document(s)  |                                       |  |  |  | CD(s)<br>Table on CD                    |                         |  |  |
| Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 |                                       |  | Remarks:                               |  |   |                         |  |  |
|   | · · · · · · · · · · · · · · · · · · · | SIGNATUR   | E OF A                                 | APPLICANT, AT                                  | TORNEY OR AC                            | SENT                    |  |  |
| Firm Name Signature Printed Name Joshua L. Cohen  |                                       |  |  |  |   |                         |  |  |
| Date  | June 27,                              | 2005   |  |  | Registration No.                        | 38,                     | 040  |  |
| CERTIFICATE OF TRANSMISSION / MAILING   |                                       |  |  |  |   |                         |  |  |
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| Signature   |                                       |  | XH                                     | ma l   | CHE_                                    |                         |  |  |
| Typed or Printed  | d Name                                | Joshua L. Cohen  | U                                      |  |   | Date                    | June 27, 2005  |  |

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/777,253

Applicant:

Joseph Urban, et al. February 12, 2004

Filed:

SYSTEM AND METHOD FOR IMPROVING AND PROMOTING COMPLIANCE

TO A THERAPEUTIC REGIMEN

TC/A.U.:

3736

Examiner:

To Be Assigned

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Joshua L. Cohen, Reg. No. 38,040

Attorney for Applicants

JLC/ap

Enclosures: PTO Form 1449

Transmittal Form

Dated: June 27, 2005

✓ P.O. Box 980Valley Forge, PA 19482(610) 407-0700

P.O. Box 1596
Wilmington, DE 19899
(302) 778-2500

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| <b>STATEMENT</b> | r RY | <b>APPI</b> | ICANT |

(Use as many sheets as necessary)

Complete if Known

Application Number 10/777,253

Filing Date February 12, 2004

First Named Inventor Joseph Urban

Art Unit 3736

Examiner Name To Be Assigned

| SHEET 1 of 1                 |   |                 | Attorney Docket No. P                    |                             | PPS-104US           |   |   |  |  |  |
|------------------------------|---|-----------------|--|-----------------------------|---------------------|---|---|--|--|--|
|                              |   |                 | U.S. PATI                                | ENT C                       | OCUMENTS            |   |   |  |  |  |
| Examiner Cite                |   | Document Number | Publication Date                         |                             | Name of Patentee or |   | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |  |  |  |
| Initials* No.1               | Number - Kind Code <sup>2 (if known)</sup>  | (MM-DD-Y        |  | Applicant of Cited Document |                     |   | Figures Appear  |  |  |  |
|                              |   | US-3,331,495    | 07/18/1967 E. Leckzik<br>10/02/1979 Wood |                             | E. Leckzik et al.   |   |   | Entire Document  |  |  |
|                              |   | US-4,169,531    |  |                             | Wood                |   |   | Entire Document  |  |  |
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| Eveniner                     | Foreign Patent Docum  |                 |  | Publication Date            |                     | Name of Patentee or<br>Applicant of Cited |   | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant |  |  |
| Examiner Cite Initials* No.1 | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup> |                 |  | (MM-DD-YYYY)                |                     | Document                                  | Figures Appear  | T <sup>6</sup>   |  |  |
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| Examiner<br>Signature        |   |                 |  |                             |                     |   | Date<br>Considered  |  |  |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.